

RECONCILIATION OF SHARE CAPITAL AUDIT

Scrip code*	526211		
NSE Symbol			
MSEI Symbol			
ISIN	INE170U01011		
Whether company has CIN	Yes		
CIN Number	L26943HP1992PLC016791		
Name of the company*	Suraj Industries Ltd		
Registered office address			
Registered office address*	Plot No. 2, Phase-III, Sansarpur Terrace, Distt. Kangra, Himachal Pradesh		
Registered office state*	Himachal Pradesh		
Registered office city*	Kangra		
Registered office district*	Kangra		
Registered office pin code*	173212		
Registered office contact number*	ISD Code*	STD Code*	Number*
	091	01970	256414
Registered office fax			
Registered office country*	INDIA		
Registered office website			
Registered office email	secretarial@surajindustries.org		
Correspondence address			
Same as above	No		
Correspondence address	F-32/3, First Floor, Okhla Industrial Area, Phase-II, New Delhi		
Correspondence state	Delhi		
Correspondence city	New Delhi		
Correspondence district	Delhi		
Correspondence pin code	110020		
Correspondence contact number	ISD Code	STD Code	Number
	091	011	42524454
Correspondence fax			
Correspondence country	India		
Correspondence email	secretarial@surajindustries.org		
Reporting quarter*	31-03-2022		
Face value*	10		

Stock Exchange Details :	Name of stock Exchange	Listed Capital	% Of total issued capital
Name of other stock exchanges where the company's securities are listed	BSE Ltd	9542000	98.82
Remarks	Textual Information(1)		

Text Block

Textual Information(1)	On account of forfeiture of 1,14,000 Equity shares of Rs. 10/- each (Rs. 5/- paid up) by the Company on 14.12.2017 after compliance of provisions of the Companies Act, 2013 and SEBI regulations. The Company has also received letter from BSE Limited dated May 20, 2018 along with the exchange notice no. 20180530-8 dated May 30, 2018 issued to the trading members of the Stock Exchange for giving effect to the forfeited 1,14,000 shares.
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Capital Details :

	Number of shares	% Of total issued capital
Issued capital*	9656000	
Listed capital (BSE) (As per company records)*	9542000	98.82
Held in dematerialised form in CDSL*	6053411	62.69
Held in dematerialised form in NSDL*	1350429	13.99
Physical*	2138160	22.14
Total no.of shares*	9542000	99
Reasons for difference if any, Between issued capital and listed capital*	114000	Textual Information(1)
Reasons for difference if any, Between issued capital and total number of shares*	114000	Textual Information(2)
Reasons for difference if any, Between listed capital and total number of shares*	0	

Text Block

Textual Information(1)	On account of forfeiture of 1,14,000 Equity shares of Rs. 10/- each (Rs. 5/- paid up) by the Company on 14.12.2017 after compliance of provisions of the Companies Act, 2013 and SEBI regulations. The Company has also received letter from BSE Limited dated May 20, 2018 along with the exchange notice no. 20180530-8 dated May 30, 2018 issued to the trading members of the Stock Exchange for giving effect to the forfeited 1,14,000 shares.
Textual Information(2)	On account of forfeiture of 1,14,000 Equity shares of Rs. 10/- each (Rs. 5/- paid up) by the Company on 14.12.2017 after compliance of provisions of the Companies Act, 2013 and SEBI regulations. The Company has also received letter from BSE Limited dated May 20, 2018 along with the exchange notice no. 20180530-8 dated May 30, 2018 issued to the trading members of the Stock Exchange for giving effect to the forfeited 1,14,000 shares.

Certifying the details of changes in share capital during the quarter under consideration as per Table below :

Whether changes during the quarter*	Yes
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Certifying the details of changes in share capital during the quarter under consideration as per Table below :

Serial No.	Particulars	No.of shares	Applied / Not Applied for listing	Listed on Stock Exchanges (Specify Names)	whether intimated to CDSL	whether intimated to NSDL	In-prin appr. pending for SE (Specify Names)	Remarks
1	Conversion	892000	Applied	BSE Ltd	Yes	Yes	No	Textual Information(1)

Text Block

Textual Information(1)	Allotment of 8,92,000 Equity Shares of Rs. 10/- each on 17.12.2021 pursuant to conversion of 8,92,000 Fully Convertible Warrants issued on 26.03.2021.
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Register of members is updated*	Yes
If not, Updated upto which date	
Reference of previous quarter with regards to excess dematerialised shares,If any.	NIL
Has the company resolved the matter (excess dematerialised shares mentioned above) in the current quarter ?*	NA
If not, Reason why ?	

Mentioned the total no.of requests, If any, confirmed after 21 days and the total no.of requests pending beyond 21 days with the reasons for delay

Total no.of demat requests	No.of requests*	No.of shares*	Reasons for delay
Confirmed after 21 days*	0	0	0
Pending for more than 21 days*	0	0	0
Remarks			

Compliance Officer Details

Whether Compliance officer appointed	Yes
Whether Qualified Company Secretary is Compliance Officer *	Yes
Name of the compliance officer*	Chhavi Agrawal
PAN of the compliance officer*	BFDPA5166G
Date of Appointment	09-01-2022
Designation*	Company Secretary and Compliance Officer
Membership Nos	ACS-49299
Telephone no.*	011-42524454
Fax no.	-
E-mail id*	secretarial@surajindustries.org
Whether any change in Compliance Officer during the previous 2 quarters*	Yes
Whether the previous Compliance Officer was Qualified Company Secretary *	Yes

Whether the previous Compliance Officer was Qualified Company Secretary *

Previous Compliance Officer Name	Bhanumathy Ramachandran	
PAN of the previous compliance officer*	AIEPR6032P	
Membership Nos	ACS	9145
Date of Appointment	19-04-1997	
Date of Cessation	08-01-2022	

Date of Appointment

CA/CS*	Company secretary
Name of certifying auditor*	Neeraj Gupta
Date of issue of report*	16-04-2022
Address*	611, Vishal Tower, District Center, Janak Puri, New Delhi
City*	New Delhi
Pincode*	110058
Contact no.*	011-9810478804
Fax no.	-
Email	
Membership no.*	5720
Firms registration number of audit firm	
Name of the firm*	Neeraj Gupta & Associates Company Secretaries
COP number*	4006

Name of the firm*

Appointment of common agency for share registry work*	Yes
Whether Registered with SEBI	
Name of RTA	Beetal Financial & Computer Services (P) Ltd
SEBI registration no.	INR000000262
Address	Beetal House, 3rd Floor, 99 Madangir, Behind LSC, Near Dada Harsukhdas Mandir, New Delh
State	Delhi
City	New Delhi
Pincode	110062
Contact no.	011-29961281
Fax number of RTA	-
E-mail id	beetalrta@gmail.com
Website Address	www.beetalfinancial.com
Whether any change in Registrar and Share Transfer Agents *	No
Previous Registrar and Share Transfer Agents Name	
Date of Cessation	
Any other detail that the auditor may like to provide. (e.g. BIFR company, delisting from SE)	Textual Information(1)

Text Block

Textual Information(1)	The Company has got permission from BSE Limited for listing and trading of 8,92,000 equity shares w.e.f February 14, 2022 each which were allotted on December 17, 2021.
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